

Talk at NEU

"Quantum toroidal and affine Yangian of  $gl_2$ "

Plan:

- Motivation (repr. theory)
- Key definitions and geom. actions
- Degeneration
- Shuffle algebras
- Commutative subalgebras
- Some representations.
- Analogue of Sachin-Valerio homom. of completions

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① Recall the classical action of the Heisenberg algebra on the sum of cohomologies of the  $(\mathbb{A}^2)^{[n]}$  via Nakajima-Grojnowski operator.

Q1: Do we have an action of "something bigger" on that space, which might be of interest?

Q2: What about the generalization of the above construction to the case of K-theory?

Goal: Our goal for today is to introduce appropriate algebras acting both on the cohom. / K-theory of  $(\mathbb{A}^2)^{[n]}$  (sum over  $n$ ). They will contain a Heisenberg subalgebra that is of interest. But they are also of interest purely for algebraists.

History: In the case of K-theory, those algebras appeared independently in [Schiffmann-Vasserot '09] and [Falgout-Tsybaliuk '09].

In the case of cohomology there is a big theory elaborated by Maulik-Okounkov. However, they never provide an algebraic description of the algebra itself.

## Quantum toroidal of $gl_1$

Let  $q_1, q_2, q_3$  be complex parameters satisfying  $q_1 \cdot q_2 \cdot q_3 = 1, q_i \neq 1$ .

Algebra  $\hat{U}_{q_1, q_2, q_3}(gl_1)$  is generated by  $\{e_i, f_i, \psi_j^\pm, (\psi_j^\pm)^{-1} \mid i \in \mathbb{Z}, j \geq 0\}$  with the following defining relations:

$$(T0) \quad \psi_0^\pm \cdot (\psi_0^\pm)^{-1} = (\psi_0^\pm)^{-1} \cdot \psi_0^\pm = 1, \quad \psi_i^\varepsilon \cdot \psi_j^{\varepsilon'} = \psi_j^{\varepsilon'} \cdot \psi_i^\varepsilon \quad \varepsilon, \varepsilon' \in \{1, -1\}$$

$$(T1) \quad e(z)e(w)(z-q_1w)(z-q_2w)(z-q_3w) = -e(w)e(z)(w-q_1z)(w-q_2z)(w-q_3z)$$

$$(T2) \quad f(z)f(w)(w-q_1z)(w-q_2z)(w-q_3z) = -f(w)f(z)(z-q_1w)(z-q_2w)(z-q_3w)$$

$$(T3) \quad [e(z), f(w)] = \frac{1}{(1-q_1)(1-q_2)(1-q_3)} \delta\left(\frac{z}{w}\right) (\psi^+(w) - \psi^+(z))$$

$$(T4) \quad \psi^\pm(z)e(w)(z-q_1w)(z-q_2w)(z-q_3w) = -e(w)\psi^\pm(z)(w-q_1z)(w-q_2z)(w-q_3z)$$

$$(T5) \quad \psi^\pm(z)f(w)(w-q_1z)(w-q_2z)(w-q_3z) = -f(w)\psi^\pm(z)(z-q_1w)(z-q_2w)(z-q_3w)$$

$$(T6) \quad \text{Sym}_{S_3}[e_{i_1}, [e_{i_2+1}, e_{i_3-1}]] = 0, \quad \text{Sym}_{S_3}[f_{i_1}, [f_{i_2+1}, f_{i_3-1}]] = 0$$

$$\text{where } e(z) := \sum_{i \in \mathbb{Z}} e_i z^{-i}, \quad f(z) = \sum_{i \in \mathbb{Z}} f_i z^{-i}, \quad \psi^\pm(z) = \sum_{j \geq 0} \psi_j^\pm z^{\pm j}, \quad \delta(z) = \sum_{i \in \mathbb{Z}} z^i$$

## Affine Yangian of $gl_1$

The affine Yangian of  $gl_1$  depends on 3 parameters  $h_1, h_2, h_3$  s.t.  $h_1 + h_2 + h_3 = 0$ . It is generated by  $\{e_j, f_j, \psi_j \mid j \geq 0\}$  with the following defn. rel-s:

$$(Y0) \quad [\psi_i, \psi_j] = 0$$

$$(Y1) \quad [e_{i+3}, e_j] - 3[e_{i+2}, e_{j+1}] + 3[e_{i+1}, e_{j+2}] - [e_i, e_{j+3}] + \delta_2([e_{i+1}, e_j] - [e_i, e_{j+1}]) - \delta_3[e_i, e_j] = 0$$

$$(Y2) \quad [f_{i+3}, f_j] - 3[f_{i+2}, f_{j+1}] + 3[f_{i+1}, f_{j+2}] - [f_i, f_{j+3}] + \delta_2([f_{i+1}, f_j] - [f_i, f_{j+1}]) + \delta_3[f_i, f_j] = 0$$

$$(Y3) \quad [e_i, f_j] = \psi_{i+j} \quad \underline{(Y4', 5')} \quad [\psi_2, e_j] = 2e_j, \quad [\psi_2, f_j] = -2f_j, \quad [\psi_1, e_j] = [\psi_0, e_j] = [\psi_1, f_j] = [\psi_0, f_j] = 0$$

$$(Y4) \quad [\psi_{i+3}, e_j] - 3[\psi_{i+2}, e_{j+1}] + 3[\psi_{i+1}, e_{j+2}] - [\psi_i, e_{j+3}] + \delta_2([\psi_{i+1}, e_j] - [\psi_i, e_{j+1}]) - \delta_3[\psi_i, e_j] = 0$$

$$(Y5) \quad [\psi_{i+3}, f_j] - 3[\psi_{i+2}, f_{j+1}] + 3[\psi_{i+1}, f_{j+2}] - [\psi_i, f_{j+3}] + \delta_2([\psi_{i+1}, f_j] - [\psi_i, f_{j+1}]) + \delta_3[\psi_i, f_j] = 0$$

$$(Y6) \quad \text{Sym}_{S_3}[e_{i_1}, [e_{i_2}, e_{i_3+1}]] = 0, \quad \text{Sym}_{S_3}[f_{i_1}, [f_{i_2}, f_{i_3+1}]] = 0$$

Rmks: (1) The above presentations are similar to the classical quantum loop algebra and the Yangian of  $\mathfrak{g}$ . Moreover, their def. rel-s are related to each other in exactly the same fashion.

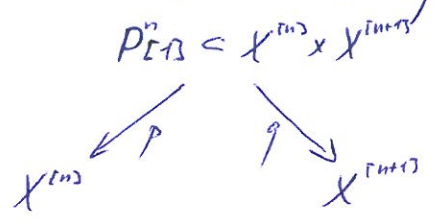
(2) What is quite non-trivial to see is that we need the following cocycle rel-s:  $[\psi_2, e_i] = 2e_i, [\psi_2, f_i] = -2f_i, \psi_0, \psi_1$  - central.

(3) Using (T4, T5) and (Y4, Y5) cubic rels (T6), (Y6) is sufficient to regularize for  $i_1 = i_2 = i_3 = 0$

③ Geometric action I

$X = \mathbb{A}^2 \rightsquigarrow X^{[n]}$  - Hilbert scheme of  $n$  points  $\rightsquigarrow \text{Pic} \cong \mathbb{A}^1 \times X^{[n]}$

Unlike general  $\text{Pic}$ , the correspondences  $\text{Pic}(\pm 1)$  - smooth. Nakajima - Grojnowski correspondence



- Notation:
- $p, q$  - natural projections from  $\text{Pic}^n$
  - $L$  - natural line bundle on  $\text{Pic}^n$
  - $\mathbb{T} = \mathbb{C}^* \times \mathbb{C}^*$
  - $\mathcal{F}$  - tautological v. bundle on  $X^{[n]}$

$\mathbb{T} \curvearrowright X \rightsquigarrow \mathbb{T} \curvearrowright X^{[n]} \rightsquigarrow (X^{[n]})^{\mathbb{T}} = \{J_\lambda\}_\lambda$  - Young diagram of size  $n$ .

$(t_1, t_2) \cdot (x, y) = (t_1 x, t_2 y)$

$J_\lambda = \mathbb{C}[x, y] \cdot (\mathbb{C}x^\lambda y^0 \oplus \mathbb{C}x^{\lambda_1} y^1 \oplus \dots)$

(a) Define  $M := \bigoplus_n \underbrace{K^T(X^{[n]})_{\text{loc}}}_{M_n}$  - the sum of localized equivariant  $K$ -gps.

Finally consider  $a(z) := \Lambda^{-1/2}(\mathcal{F}) = \sum_{i \geq 0} [\Lambda^i \mathcal{F}] \cdot (-1/2)^i$ .

$$c(z) := \frac{a(z t_1) a(z t_2) a(z t_3)}{a(z t_1^{-1}) a(z t_2^{-1}) a(z t_3^{-1})}$$
 , where  $t_1, t_2$  - natural coord. on  $\mathbb{T}$ ,  $t_3 := 1/t_2$ .

Consider the following operators on  $M$ :

$$e_i := q_* (L^{\otimes i} \otimes p^*) : M_n \rightarrow M_{n+1}$$

$$f_i := p_* (L^{\otimes (i-1)} \otimes q^*) : M_{n+1} \rightarrow M_n$$

$$\psi^\pm(z)|_{M_n} := \left( -\frac{1-t_3 z^{-1}}{1-z^{-1}} c(z) \right)^\pm \in M_n[z^{\pm 1}]$$

(1)  $\pm$  denotes expansion in  $z^{\pm 1}$ .

Theorem 1: Formulas (1) define an action of  $\check{U}_{t_1, t_2, t_3}(\mathfrak{gl}_1)$  on  $M$ .

b) Define  $V := \bigoplus_n H_T^*(X^{[n]})_{\text{loc}}$  - the sum of localized equiv. cohom. gps.

Consider 
$$C(z) := \left( \frac{\text{ch}(\mathcal{F} t_1^{-1}, -1/2) \text{ch}(\mathcal{F} t_2^{-1}, -1/2) \text{ch}(\mathcal{F} t_3^{-1}, -1/2)}{\text{ch}(\mathcal{F} t_1, -1/2) \text{ch}(\mathcal{F} t_2, -1/2) \text{ch}(\mathcal{F} t_3, -1/2)} \right)^+$$

Note that  $V$  is a module over  $\mathbb{C}(s_1, s_2)$ , where  $s_1, s_2$  - natural basis of  $\mathfrak{t} = \text{Lie } \mathbb{T}$  and  $s_3 := -s_1 - s_2$ .

Consider the following operators on  $V$ :

$$e_j := q_* (c_1(L)^j \cdot p^*) : V_n \rightarrow V_{n+1}$$

$$f_j := p_* (c_1(L)^j \cdot q^*) : V_{n+1} \rightarrow V_n$$

$$\psi(z)|_{V_n} := (1 - s_3/2) C(z)^+$$

(2)

Theorem 2: Formulas (2) define an action of  $\check{Y}_{s_1, s_2, s_3}(\mathfrak{gl}_1)$  on  $V$ .

③ Geometric action I

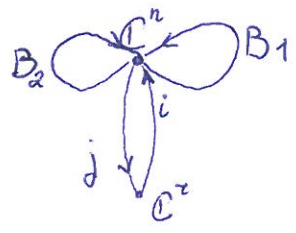
There is a natural generalization of previous 2 results to the higher rank. Recall that the Hilbert scheme of points  $(\mathbb{A}^2)^{(n)}$  is actually the first member of the series of moduli spaces  $M(r, n)$ , called Gieseker moduli space.

$M(r, n) = \{(E, \Phi)\} / \sim_{\text{isom}}$ , where

- $E$ : torsion free sheaf on  $\mathbb{P}^2$ ,  $rk(E) = r, c_2(E) = n$
- $E$ -loc. free in the nbhd of  $l_\infty = \{(0: x: *)\} \subset \mathbb{P}^2$
- $\Phi: E|_{l_\infty} \cong \mathcal{O}_{l_\infty}^{\oplus r}$  - "framing at  $\infty$ ".

This space has an alternative quiver description:

$M(r, n) = \mathcal{M}(r, n) / GL_n(\mathbb{C}), \quad \mathcal{M}(r, n) := \{(B_1, B_2, i, j) \mid [B_1, B_2] + j \circ i = 0\}$



stability condition means there is no proper subspace  $S \subset \mathbb{C}^n$  containing  $\text{Im}(i)$  and  $B_1, B_2$ -invariant.

Let  $\mathbb{T}_r := (\mathbb{C}^*)^2 \times (\mathbb{C}^*)^2$ . This torus acts on  $M(r, n)$ , where  $(\mathbb{C}^*)^2$  acts on  $\mathbb{P}^2$ , while  $(\mathbb{C}^*)^2$  acts by changing the framing at infinity.

The locus of  $\mathbb{T}_r$ -fixed points in  $M(r, n)$  is finite and is parametrized by the  $r$ -partitions  $\vec{\lambda} = (\lambda^1, \dots, \lambda^r)$  of  $n$ ; we denote the fixed pt by  $\xi_{\vec{\lambda}}$ .

It is possible to define an analogue of  $P(r)$ , which is called the Hecke correspondence  $M(r; n, n+1) \subset M(r, n) \times M(r, n+1)$ . It is a smooth variety of dimension  $2rn + r + 1$ . Call projections to  $M(r, n), M(r, n+1)$  by  $p_r, q_r$ .

Let  $L_r$  be the tautological line bundle on  $M(r; n, n+1)$   
 $\mathcal{F}_r$  - " - - - vector bundle on  $M(r, n)$ .

Consider  $M^r := \bigoplus_n K^{\mathbb{T}_r}(M(r, n))_{\text{loc}}, \quad V^r := \bigoplus_n H_{\mathbb{T}_r}^*(M(r, n))_{\text{loc}}.$

Theorem 3: The following formulas define an action of  $\mathbb{U}_{t_1, t_2, t_3}(\mathfrak{gl}_r) \subset M^r$

$e_i := q_{r*}(p_r^* \otimes L_r^{\otimes i}), \quad f_i := p_{r*}(L_r^{\otimes (i-r)} \otimes q_r^*), \quad \psi^\pm(z)|_{M^r} := (? \cdot C_r(z))^\pm,$

where  $C_r(z)$  is defined completely analogously to  $C(z)$ , while " ? " is the coefficient equal to  $(-1)^r t_1 t_2 x_1 \dots x_r \cdot \prod_{a=1}^r \frac{1-t_1 t_2 x_a z}{1-x_a z}$ .

Theorem 4: The following  $f$ -las define an action of  $\mathbb{Y}_{s_1, s_2, s_3}(\mathfrak{gl}_r) \subset V^r$

$e_j := q_{r*}(C_1(L_r)^j \cdot p_r^*), \quad f_j := p_{r*}(C_1(L_r)^j \cdot q_r^*), \quad \psi(z)|_{V^r} := (? \cdot C_r(z))^+,$  where  $C_r(z)$  is defined as  $C(z)$ , while  $? = \prod_{a=1}^r \frac{z + x_a - s_3}{z + x_a}$

### ④ Degeneration

Let us now describe algebras  $\dot{U}_{q_1, q_2, q_3}(\mathfrak{gl}_1)$ ,  $\dot{Y}_{h_1, h_2, h_3}(\mathfrak{gl}_1)$  in their limit as  $q_3 \rightarrow 1$  or  $h_3 \rightarrow 0$ .

#### (a) Algebras of difference operators on $\mathbb{C}$ , $\mathbb{C}^*$ .

Let  $\mathcal{D}_q$  be the associative algebra generated by  $Z^{\pm 1}, D^{\pm 1}$  with the defining rel-n  $DZ = qZD$  (there are obvious rel-s like  $Z \cdot Z^{-1} = 1$  etc). We will view  $\mathcal{D}_q$  as a Lie algebra w.r.t. commutator bracket.

It is known that the second cohomology group of this Lie alg. is 2-dim. In particular, the following 2-cocycle defines a nontrivial central extension  $\bar{\mathcal{D}}_q := \mathcal{D}_q \oplus \mathbb{C} \cdot c_0$ . We also use  $\bar{\mathcal{D}}_q$  to denote a Lie subalg. spanned by  $c_0, Z^i D^j$  ( $(i,j) \neq (0,0)$ )  
 2-cocycle:  $\phi_0(Z^a D^i, Z^b D^j) = \begin{cases} 0, & j \neq j' \text{ or } j = j' = 0 \\ \sum_{i=j}^{-1} q^{ai + b(j+i)}, & j = j' > 0 \\ -" ", & j = j' < 0 \end{cases}$

Let  $\mathcal{D}_h$  be the assoc. alg., generated by  $x, \partial^{\pm 1}$  with the def. rel-n  $\partial \cdot x = (x+h)\partial$ .

We will view  $\mathcal{D}_h$  as a Lie algebra. It has a 1-dim  $2^{nd}$  cohomology. In particular, the 2-cocycle

$$\phi_0(f(x)\partial^z, g(x)\partial^s) = \begin{cases} 0, & z \neq s \text{ or } z = s = 0 \\ \sum_{i=z}^{-1} \underline{f}(ih) \underline{g}((h+z)h), & z = s > 0 \\ -" ", & z = s < 0 \end{cases}$$

defines a nontrivial central extension  $\bar{\mathcal{D}}_h = \mathcal{D}_h \oplus \mathbb{C} \cdot c_0$ .

#### (b) Algebra $\dot{Y}'_{h_1, h_2, 0}(\mathfrak{gl}_1)$ .

First we rescale el-s  $\psi_j$  by  $-h_1 h_2$ : this changes  $[\psi_j, e_j] = 2e_j$  to  $[\psi_j, e_j] = -2h_1 h_2 e_j$ .

Benefit: When  $h_1, h_2, h_3$  are viewed formal, then  $\dot{Y}'_{h_1, h_2, h_3}(\mathfrak{gl}_1)$  is  $\mathbb{Z}_+$ -graded (unlike  $\dot{Y}_{h_1, h_2, h_3}(\mathfrak{gl}_1)$ ) with  $\deg(e_j) = \deg(f_j) = \deg(\psi_j) = j, \deg(h_i) = 1$ .

Theorem 5: The following  $f$ -lax extend to an isomorphism  $\dot{Y}'_{h_1, h_2, 0}(\mathfrak{gl}_1) \xrightarrow{\sim} U(\bar{\mathcal{D}}_h)$

$$e_j \mapsto x^j \partial, f_j \mapsto -\partial^2 x^j, \psi_j \mapsto (x-h)^j - x^j - (-h)^j c_0$$

#### (c) Algebra $\dot{U}'_{q_1, q_2, 1}(\mathfrak{gl}_1)$ .

You can't naively take  $q_3 = 1$  as this will spoil (T3). Let  $h_3 = \ln q_3$ . Instead we write  $\psi^{\pm}(z) = \exp(\pm \frac{h_3}{2} \alpha) \cdot \exp(\pm (1-q_3) \sum_{\pm m \neq 0} H_m z^{-m})$ ,  $e(z) \mapsto (1-q_1)e(z)$   
 Then rel-n (T3) makes sense in the limit  $h_3 \rightarrow 0$ .

Theorem 6: For  $q \neq \sqrt{1}$ , the following  $f$ -lax extend to an isom.  $\dot{U}'_{q_1, q_2, 1}(\mathfrak{gl}_1) \xrightarrow{\sim} U(\bar{\mathcal{D}}_q)$

$$e_i \mapsto Z^i D, f_i \mapsto -D^i Z^i, H_k \mapsto (q^{-k} - 1)Z^k - q^{-k} c_0, x \mapsto c_0$$

## 5) Shuffle algebras

The notion of shuffle algebras was introduced by Feigin-Odesskii in late 90's. They considered elliptic case, while we need the trig/rational one.

$$\mathcal{S} := \bigoplus_{n \geq 0} \mathcal{S}_n, \text{ where } \mathcal{S}_n = \left\{ \frac{f(x_1, \dots, x_n)}{\Delta(x_1, \dots, x_n)^2} \mid f \in \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]^{\mathcal{S}_n} \right\}$$

Vandermonde determinant

Define the star-product on  $\mathcal{S}$  by

$$(F * G)(x_1, \dots, x_{n+m}) = \text{Sym} \left( F(x_1, \dots, x_n) G(x_{n+1}, \dots, x_{n+m}) \prod_{\substack{k < n \\ \ell > n}} \lambda(x_k, x_\ell) \right),$$

$$\lambda(x, y) = \frac{(x - q_1 y)(x - q_2 y)(x - q_3 y)}{(x - y)^3}$$

This makes  $\mathcal{S}$  into a unital algebra. But it is HUGE!

We say that  $\frac{f(x_1, \dots, x_n)}{\Delta(x_1, \dots, x_n)^2}$  satisfies the wheel conditions if for any  $(x_1, \dots, x_n)$  s.t.  $x_1/x_2 = q_i, x_2/x_3 = q_j (i \neq j)$ , any  $x_1, \dots, x_n$   $f(-) = 0$ . It is easy to see that the space  $\mathcal{S} = \bigoplus \mathcal{S}_n, \mathcal{S}_n \subset \mathcal{S}_n$  of such  $f$ -s is closed w.r.t.  $*$ .

The following fact was conjectured in [FT] and proved by Negut

Theorem 7 (Negut '12): Algebra  $\mathcal{S}$  is generated by  $\mathcal{S}_1$ .

The connection b/w  $\mathcal{S}$  and  $\ddot{U}_{q_1, q_2, q_3}(gl_1)$  is as follows.

Let  $\ddot{U}^+$  be the subalgebra of  $\ddot{U}_{q_1, q_2, q_3}(gl_1)$  generated by  $e_i$ . As an abstract algebra, it is gen. by  $(e_i)$  with def. rel-s  $(T1, T6)$

We have a natural homom.  $\ddot{U}^+ \rightarrow \mathcal{S} \quad e_i \mapsto x_i^i \in \mathcal{S}_1$ .

As a consequence of the above thm and some results of Schiffmann:

Corollary:  $\ddot{U}^+ \xrightarrow{\cong} \mathcal{S}$  is an isom.

Moreover  $\ddot{U}_{q_1, q_2, q_3}(gl_1) \cong \mathcal{D}(\mathcal{S})$  - Drinfeld double of  $\mathcal{S}$ .

Similar construction works also for the case

$$\lambda(x, y) = \frac{(x - y - h_1)(x - y - h_2)(x - y - h_3)}{(x - y)^3}$$

Need to consider  $f \in \mathbb{C}[x_1, \dots, x_n]^{\mathcal{S}_n}$  (not  $\mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]^{\mathcal{S}_n}$ ).

Then all results from above generalize to yield  $\ddot{U}^+ \cong \mathcal{S}$ .

⑥ Commutative subalgebras and Heisenberg alg. action

Recall that our initial goal was to obtain a natural Heisenberg action on  $M$  (generalizing the Nakajima - Grojnowski for  $V$ ).

So far we have constructed  $U_{q_1, q_2, q_3}(gl_n) \curvearrowright M$ , but all the operators  $e_i$  shift degree only by  $\pm 1$ , i.e.  $e_i: M_n \rightarrow M_{n \pm 1}$ . While our Heisenberg operator  $a_k$  should shift by  $k$ .

It turns out that while facts are quite complicated el-s of  $U_{q_1, q_2, q_3}(gl_n)$  they have a nice explicit description in shuffle terms.

Let us consider a  $\mathbb{Z}_+$ -graded subspace  $A = \bigoplus_{n \geq 0} A_n$  of  $S$ , defined by

$$A_n = \{ F \in S_n \mid \partial^{(\infty, k)} F = \partial^{(0, k)} F \quad \forall 0 \leq k \leq n \}$$

$$\partial^{(0, k)} F = \lim_{z \rightarrow 0} F(x_1, \dots, x_{n-k}, z, x_{n-k+1}, \dots, x_n)$$

$$\partial^{(\infty, k)} F = \lim_{z \rightarrow \infty} F(x_1, \dots, x_{n-k}, z, x_{n-k+1}, \dots, x_n)$$

The following result is due to Feigin - Hashizume - Hoshino - Shizashi - Yang

Theorem 8 ([FHHSY '09]): The subspace  $A^m$  is  $\ast$ -commutative.

Moreover, it is isomorphic to  $\mathbb{C}[K_1, K_2, K_3, \dots]$ ,

$$K_1(x) := x_1^0, \quad K_2(x_1, x_2, \dots, x_n) := \prod_{1 \leq i < j \leq n} \frac{(x_i - q_1 x_j)(x_j - q_1 x_i)}{(x_i - x_j)^2}$$

Note that el-s  $K_i$  are explicit, but their expression via  $(S, \ast)$  is completely non-trivial. However, we would like to have an alternative set of generators expressed via  $S$ .

Lemma:  $A^m$  is a free comm. alg. in  $\{L_i\}_{i=1}^m$ , where

$$L_1(x_1) = x_1^0, \quad L_n(x_1, \dots, x_n) = \underbrace{[x^1, [x^0, [\dots, [x^0, x^1] \dots]]}_{n\text{-commutator}}$$

Similar results apply to the "additive case" as well:

Theorem 9: (a) The following el-s are  $\ast$ -commutative and alg. indep.

$$K_1^a(x) = x^0, \quad K_2^a(x_1, \dots, x_n) := \prod_{i < j} \frac{(x_i - x_j - h_i)(x_j - x_i - h_i)}{(x_i - x_j)^2}$$

(b) An alternative set of generators for the corresp alg. is

$$L_i^a(x_1) = x_1^0, \quad L_n^a(x_1, \dots, x_n) = \underbrace{[x^0, [x^0, \dots, [x^0, x^{n-1}] \dots]]}_{n\text{-commutator}}$$



## ⑥' Recovering the Heisenberg action on $M$

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•  $\bigoplus_n K^T(X^{\text{ens}})_{\text{ex}} =: M = \bigoplus_{\lambda} \mathbb{C}(t_1, t_2) \cdot [\lambda] \leftarrow \text{Fixed point decomposition.}$

•  $\mathbb{U}_{q_1, q_2, q_3}(\mathfrak{gl}_1) = \mathcal{D}(S) \curvearrowright M \rightsquigarrow K_n \curvearrowright M.$

Key Observation ([FTS]): There are constant  $c_\lambda$ , s.t. the isomorphism of vector spaces  $M \xrightarrow{\sim} \Lambda$  - the ring of symm. polyn. in  $x_1, x_2, \dots$  -  
 $[\lambda] \mapsto c_\lambda \cdot P_\lambda$  - Macdonald polynomial  
 intertwines  $K_n$  with operators  $e_n: \Lambda \rightarrow \Lambda$

Upshot: Therefore taking  $K_n$  and the opposite  $K_{-n} \in S^{\text{opp}}$  we get that they act as "vertex-type" operators of the Heisenberg on  $M$ .

Here we use f-la  $1 + \sum_{i \geq 0} e_i z^i = \exp\left(\sum_{j \geq 0} \frac{(-1)^{j+1}}{j} p_j z^j\right)$

and  $\{p_j\}$  are exactly the Heisenberg operators  $\curvearrowright \Lambda$ .

Additive case: In the "cohomological case" operators  $K^a$  also provide the "vertex-type" operators of Heisenberg  $\curvearrowright V$ . Moreover, this Heisenberg is the same as the classical action of Nakajima - Grojnowski.

(in the identification  $V \cong \Lambda$   $[\lambda] \mapsto c_\lambda \cdot J_\lambda$  - Jack pol.)

This has been observed by Li-Qin-Wang '04.

### 7) Representations of $\hat{U}(q|1)$ & $\hat{Y}(q|1)$

The repr. theory of those algebras has not been classified yet. However, there are interesting series for  $\hat{U}(q|1)$  studied by Feigin-Feigin-Jimbo-Miura-Mukhin in multiple papers.

#### 1) Vector representations $V(u)$

There is a 1-parameter family of  $\hat{U}(q|1)$ -reps on the space w/ basis  $\{[u]_i\}_{i \in \mathbb{Z}}$  given by

$$e(z)[u]_i = \frac{1}{1-q} \delta\left(\frac{q^i u}{z}\right) [u]_{i+1}, \quad f(z)[u]_i = \frac{1}{1-q^i} \delta\left(\frac{q^{i-1} u}{z}\right) [u]_{i-1}, \quad \psi^+(z)[u]_i = \frac{(1-z^{-1}q^i q_2 u)(1-z^{-1}q^i q_3 u)^\pm}{(1-z^{-1}q^i u)(1-z^{-1}q^{i-1} u)} [u]_i$$

#### 2) Fock modules $F(u)$

There is also a 1-parameter family of modules  $F(u)$ . They are obtained from  $V(u)$  by taking the  $\wedge_{\mathbb{Z}}$ -wedge construction of  $V(u) \otimes V(uq_3^{-1}) \otimes V(uq_3^{-2}) \otimes \dots$

To define  $\otimes$  we use the following "formal coproduct"

$$\Delta(e(z)) = e(z) \otimes 1 + \psi^-(z) \otimes e(z), \quad \Delta(f(z)) = f(z) \otimes \psi^+(z) + 1 \otimes f(z), \quad \Delta(\psi^\pm(z)) = \psi^\pm(z) \otimes \psi^\pm(z)$$

Rmk: This is not a "decent" coproduct since it has  $\infty$  many summands. However, in all cases of interest it works since the matrix coeff. for  $e(z)$  are usually  $\delta(\lambda/z)$  and we can use the f-k

$$(*) \quad \gamma(z) \cdot \delta(\lambda/z) = \gamma(\lambda) \cdot \delta(\lambda/z) \quad \text{for any rational } \lambda \text{ or } \gamma.$$

#### 3) Resonance conditions

Under some resonance conditions on  $u_1, \dots, u_n$  the above f-k (\*) doesn't provide an action of  $\hat{U}(q|1)$  say on  $V(u_1) \otimes \dots \otimes V(u_n)$  or  $F(u_1) \otimes \dots \otimes F(u_n)$ .

In particular, in IFFJMM the authors introduced the natural action of  $\hat{U}(q|1)$  on the space w/ basis consisting of  $(k, z)$ -admissible partit.  $\lambda = (\lambda_1, \dots, \lambda_n)$  is  $(k, z)$ -admissible  $\Leftrightarrow \lambda_i - \lambda_{i+k} \geq z \quad \forall i \in \{1, \dots, n-k\}$ .

Moreover, one can also consider their limit as  $N \rightarrow \infty$ .

## ⑦ Representations of $\check{U}(q_1), \check{Y}(q_1)$

It was observed in [FFJMM1] that  $F(1) \cong M$   
 $|\lambda\rangle \mapsto c_\lambda \cdot |\lambda\rangle \quad c_\lambda \in \mathbb{C}(q_1, q_2).$

Moreover, we have the following result:

Theorem 10: There exist a unique collection of constants  
 $c_{\bar{\lambda}} \in \mathbb{C}(q_1, q_2, \lambda_1, \dots, \lambda_r)$ ,  $c_{\bar{\emptyset}} = 1$  s.t. the map  
 $M^{\mathbb{Z}} \xrightarrow{\sim} F(\lambda_1) \otimes \dots \otimes F(\lambda_r)$  is an isom. of  
 $[\bar{\lambda}] \mapsto c_{\bar{\lambda}} \cdot |\lambda^{\bar{\lambda}}\rangle \otimes \dots \otimes |\lambda^{\bar{\lambda}}\rangle \quad \check{U}_{q_1, q_2, q_3}(q_1)$ -modules.

It turns out that there is exactly the same theory for  $\check{Y}(q_1)$ .  
 The  $\Delta$  on  $\check{Y}(q_1)$  is analogous (it makes sense only on the  
 subcategory of admissible representations).

In particular  $\check{Y}(q_1)$  acts on the same spaces as above.

The only changes required are:

$$\delta\left(\frac{q_1^i q_2^j q_3^k u}{z}\right) \mapsto \frac{1}{z} \delta^+(i h_1 + j h_2 + k h_3 + u) / z, \quad 1 - \frac{q_1^i q_2^j q_3^k u}{z} \mapsto i h_1 + j h_2 + k h_3 + u - z.$$

where  $\delta^+(z) = 1 + z + z^2 + \dots$

The analogue of Thm 10 also holds and the corresponding  
 statement played a crucial role in Maulik - Okounkov's  
 study of quantum cohomology of Hilbert schemes  $(\mathbb{A}^2)^{[n]}$ .

③ Relation b/w  $U_{q_1, q_2, q_3}(g_1) \leftrightarrow Y_{h_1, h_2, h_3}(g_2)$

In the recent papers Gautam - Toledo Laredo explained a similar relation b/w  $U_q(Lg)$  and  $Y_h(g)$ .

They constructed a homom.

$$\Phi: U_q(Lg) \longrightarrow \widehat{Y_h(g)} \quad q = e^h$$

$$\begin{aligned} \text{which has the form } U^0(Lg) &\longrightarrow \widehat{Y_h^0(g)} \\ U^+(Lg) &\longrightarrow \widehat{Y_h^{20}(g)} \\ U^-(Lg) &\longrightarrow \widehat{Y_h^{40}(g)} \end{aligned}$$

They also proved that it induces an isom. of completions

$$\widehat{\Phi}: \widehat{U_q(Lg)} \xrightarrow{\cong} \widehat{Y_h(g)}$$

where the target is completed w.r.t. a  $\mathbb{Z}_+$ -grading, while the source is completed w.r.t. powers of an ideal  $\mathcal{I}$ , which is degree as the kernel

$$U_q(Lg) \xrightarrow{q \rightarrow 1} U(Lg) \xrightarrow{z \rightarrow 0} U(g).$$

In particular,  $\text{gr } \Phi$  establishes  $Y_h(g)$  as the associated graded of  $U_q(Lg)$ .

This also explains the similarity of the theories of reps for algebras  $U_q(Lg)$  and  $Y_h(g)$

Remark: On the classical level (i.e. as  $\hbar \rightarrow 0$ )  $\widehat{\Phi}$  is given by

$$\begin{aligned} \widehat{U(g[z, z^{-1}])} &\longrightarrow \widehat{U(g[w])} \\ A \cdot z^n &\longmapsto A \cdot \exp(n \cdot w). \end{aligned}$$

⑧ Relation b/w  $U_{q_1, q_2, q_3}(gl_1) \leftrightarrow \hat{Y}_{h_1, h_2, h_3}(gl_1)$

It was already mentioned that we better consider the algebras  $\hat{U}'_{h_1, h_2, h_3}(gl_1)$  and  $\hat{Y}'_{h_1, h_2, h_3}(gl_1)$  (this makes the latter alg. graded, while the former has a limit as  $h_3 \rightarrow 0$ )  
However, they are no longer  $S_3$ -invariant as the original alg-s.  
Then analogous arguments as those in [GTL] provide a homom.

$$Y: \hat{U}'_{h_1, h_2, h_3}(gl_1) \longrightarrow \hat{Y}'_{h_1, h_2, h_3}(gl_1).$$

However it is not an isom. on the level of completions, since already in the classical case ( $h_3 \rightarrow 0$ ) it is given by

$$\bar{D}'_h \longrightarrow \widehat{\bar{D}}_h$$

$$\mathbb{Z}^j D^j \longmapsto \exp(i \cdot x) \cdot D^j, \quad C \circ \longmapsto C \circ$$

But we only have the isomorphism

$$\widehat{\bar{D}}'_h \xrightarrow{\cong} \widehat{\bar{D}}_h, \quad \text{where the completions are taken w.r.t. the powers of ideals } (\mathbb{Z}, h), (x, h).$$

However,  $\bar{D}'_h \subset \widehat{\bar{D}}_h$  doesn't include the el-t  $\mathbb{Z}^0 D^0$ .

So we get that  $\hat{Y}$  is "almost an isomorphism" up to central extensions.

Remark: (1) One actually show that both algebras are flat  $h_3$ -deformations of the respective quotients  $U(\bar{D}'_h)$  and  $U(\widehat{\bar{D}}_h)$ .

(2) Finally  $Y$  is compatible with  $M^z, V^z$ .  
In other words the map  $ch_z: M^z \longrightarrow \widehat{V^z}$

$[\lambda]$	$\longmapsto$	$[\lambda]$
$t_i$	$\longmapsto$	$\exp(s_i)$
$x_j$	$\longmapsto$	$\exp(x_j)$

satisfies the property

$$ch_z(X \cdot V) = Y(X) \cdot ch_z(V).$$

(one needs to be careful when defining  $M^z, V^z$  for formal parameters  $q_i, h_i$ )